

US EPA ARCHIVE DOCUMENT



NAFTA Technical Working Group on Pesticides  
Grupo de Trabajo Técnico del TLCAN sobre Plaguicidas  
Le groupe de travail technique de l'ALENA sur les pesticides

# Biopesticides Registration Improvement Course

## **CHALLENGES IN SUBMISSION MANAGEMENT REGULATORY PROCESSES 3.1**



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**Biopesticide and Pollution Prevention Division**



## Overview

- **Biopesticide & Pollution Prevention  
Division Registers Biological Pesticides  
in the U.S.**
  - ✓ **FIFRA Section 3(c)(5), Section 3(c)(7) – Products, Amendments**
  - ✓ **FIFRA Section 5 – Experimental Use Permits**
- **Establishes Tolerances/Exemptions for  
Residues in Food & Feed Commodities**
  - ✓ **FFDCA Section 408**



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# Overview

## ➤ **Statutory Time Frames**

- ✓ **FIFRA Section 3(c)(3)**
- ✓ **FIFRA Section 33(f) – Decision Time Review Periods (PRIA)**



## Overview

- **PRIA guarantees a decision \* by a “due date”**
- **Assumes the application is complete**
  - **Administrative Documents**
    - ✓ **Application Form**
    - ✓ **FIFRA Label (5 copies)**
    - ✓ **Data Matrix**
    - ✓ **Certification with Respect to Citation of Data**
    - ✓ **Data Compensation**
    - ✓ **Confidential Statement of Formula**

\* Not necessarily a registration



## Overview

- **PRIA guarantees a decision\* by a “due date”**
- **Assumes the application is complete**
  - **ALL Data Requirements Fully Addressed**
    - ✓ **Study volumes in PR Notice 86-5 Format**
    - ✓ **“Acceptable” (not deficient) Studies**
    - ✓ **Scientific rationale supports each data waiver**
    - ✓ **Alternative data provides same information as guideline study**
      - **Equivalence of test material with microbial active ingredient demonstrated**

\* Not necessarily a registration



## Overview

- **PRIA guarantees a decision\* by a “due date”**
- **Assumes the application is complete**
  - **Fees are paid**
  - **25% (or 50%) of fees if waiver is granted**

**PRIA Allows EPA 21 Days to Screen an Application for **Completeness** Before the Decision Time Frame Begins**

\* Not necessarily a registration



# Resources

## Registration

<http://www.epa.gov/pesticides/biopesticides/regtools/index.htm>

<http://www.epa.gov/pesticides/bluebook/>

**Online CFR:** <http://www.gpoaccess.gov/ecfr/>

## US Data Requirements (40 CFR 158)

**Biochemicals: 40 CFR part 158.2000, Subpart U**

**Microbials: 40 CFR part 158.2100, Subpart V**

**Waivers: 40 CFR part 158.45**





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# Resources

## Guidelines:

[http://www.epa.gov/ocspp/pubs/frs/publications/Test\\_Guidelines/series885.htm](http://www.epa.gov/ocspp/pubs/frs/publications/Test_Guidelines/series885.htm)

[http://www.epa.gov/ocspp/pubs/frs/publications/Test\\_Guidelines/series880.htm](http://www.epa.gov/ocspp/pubs/frs/publications/Test_Guidelines/series880.htm)

## REDs and BRADs

<http://www.epa.gov/pesticides/biopesticides/ingredients/>



## Procedures – Phase 1



- **Application received (Document Processing)**
- **PRIA Completeness Screen – 21 days**
  - ✓ **Studies Fail PR Notice 86-5 – 2 attempts to “fix”**
  - ✓ **Inerts checked for clearance**
- **Action forwarded to BPPD**
- **Submission Readiness Team (SRT)**
  - ✓ **Administrative Documents**
  - ✓ **Data, Waivers**
- **Fail – 75 Day Letter – Application does *not* move to next phase!**



## **Procedures – Phase 2**

- **Team Assignments**
  - ✓ **Regulatory Action Leader**
  - ✓ **Science Reviewers**
  
- ***Federal Register* Publications**
  - ✓ **Notice of Receipt – Significant Actions**
  - ✓ **Notice of Filing – Petition (Tolerance, Exemption)**



## Procedures – Phase 3

### ➤ Primary Reviews

- ✓ Human Health
- ✓ Product Characterization
- ✓ Ecological Fate and Effects



### ➤ Deficiencies Identified

- ✓ 75 Day Letter
- ✓ Action Does Not Move to Next Phase!
- ✓ May Need to Negotiate PRIA Due Date

### ➤ Acceptable?

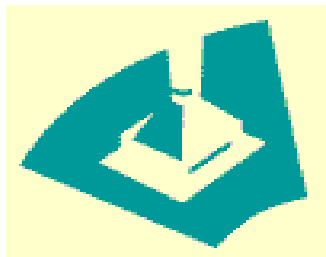


## Phase 4



## Procedures – Phase 4

- **Secondary Review, Risk Assessments**
  - ✓ **Human health (FQPA/food uses, exposure, etc.)**
  - ✓ **Ecological fate, non-targets, endangered species**
- **Deficiencies? → 75 day letter, Negotiate, Not Grant, Deny**
- **Biopesticide Registration Action Document  
Drafted (New AI) or Revised (New Uses)**
- **Final Rule Drafted ↔ OGC Concurrence**





## **Procedures – Phase 4**

- **Prepare Documents for 30 Day Public Comment Period**
  - ✓ **Documents for Public Docket Screened for Confidential Business Information (CBI)**
  - ✓ **Cleared Documents Uploaded to Docket**
  - ✓ **Website Announces Opening of Comment Period**



## Decisions – Phase 5

- **Public Comment Period (30 days) - Risk Assessments, BRAD, Proposed Decision**
- **Label Finalized**
- **Registration Notice Signed**
- ***Federal Register Publications***
  - ✓ **Food Tolerance or Exemption**
  - ✓ **Notice of Issuance (New AI, First Food, Other Significant New Uses, EUPs) in the *Federal Register***





## **Common Problems - Issues**

- **Administrative documents incomplete, missing**
  - ✓ **Signatures/dates missing, incorrect agent**
  - ✓ **86-5 Format Failure**
- **Data requirements not fully addressed**
  - ✓ **Inappropriate, unsupported data waivers\***
  - ✓ **Cited/submitted data for “similar” AI**
  - ✓ **Equivalence to AI not demonstrated**
  - ✓ **CSF Incomplete or Inaccurate**

**\* 40 CFR 158.45**





## **Common Problems - Issues**

### ➤ **Labels**

- ✓ **Package Size Inadequate per Directions**
- ✓ **False, Misleading**
- ✓ **Advertising Claims**
- ✓ **Claims Not Supported**
  - **Efficacy (Public Health Pests)**
  - **Storage/Stability**
- ✓ **Mandatory v. advisory language**
- ✓ **Storage & Disposal**
- ✓ **Organic Labeling**



## Common Problems - **Solutions**

- Pre-submission Meetings are ***Beneficial***
- Address All Data Requirements & Justify Waivers ***Individually***
- Read Guidelines for ***Each*** Data Requirement
- Allow ***Time*** to Conduct Studies
- Alternative Data
  - ✓ ***Demonstrate*** equivalence of surrogate ai
  - ✓ ***Ask:*** same info as in guideline?



## **Common Problems - Solutions**

- **Make Sure ALL Forms are Included**
- **Make Sure Forms are Filled Out**
  - ✓ **Signatures (of “Agent of Record”)**
  - ✓ **Contact Information**
  - ✓ **Dates**
- **Data Volumes (Including Waivers) in PR Notice 86-5 Format**
  - ✓ **Confidentiality & GLP Statements**



## Common Problems - **Solutions**

### ➤ **Data Compensation**

- ✓ **Exclusive Use (10 years) – Evidence that data owner approves**
- ✓ **Compensible (10-15 years) – Offer to Pay**

### ➤ **Appropriate PRIA Fee *Must Be Paid***

- ✓ **Include Proof of Payment**

### ➤ **Fees Waived?**

- ✓ **25% (or 50%) of the PRIA fee **must** be paid**



**The PRIA Time Frame Does  
Not Start for 21 Days After  
Receipt of a Complete  
Package **and** the Applicable  
Fee**



## Common Problems - **Solutions**

- **Submit Applications *Well in Advance* of Use Season**
- **Allow *Extra Time* to Address Problems Identified During EPA's Review**
- **Allow *Extra Time* for State Registrations**



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## **“Free” Advice**

**It's Better to Ask Before Submitting  
an Application**

**Deficiencies Cost Time**

**and Money ...**

So, tell me ... HOW  
many negotiations have  
you had with EPA?

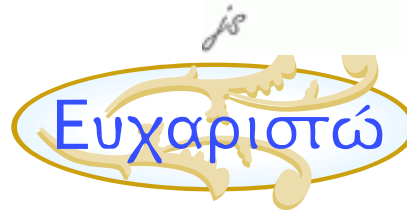




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谢谢你



Obrigado!

